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I hereby Certify that this Correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on November 20, 2003  
Martin G. Linjhan  
Name Martin G. Linjhan  
Signature  
November 20, 2003  
Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ronald M. McAlpine et al.

Serial No.: 09/997,696

Filed: November 30, 2001

For: Photomultiplier

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(e)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Applicant wishes to make of record the following prior art under the provisional of 37 C.F.R.1.97:

Prior Art Cited

Please see attached list and copies enclosed.

Statement of Relevancy

The prior art identified on the attached list was cited in the Official Search report in applicant's corresponding

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United Kingdom Patent Application. Payment of the fee under 37 CFR 1.17(p) also is enclosed.

Respectfully submitted,

A handwritten signature in cursive script, reading "Martin G. Linihan", written over a horizontal line.

Martin G. Linihan

Reg. No. 24,926

Hodgson Russ LLP  
One M&T Plaza, Suite 2000  
Buffalo, NY 14203  
(716) 848-1367  
Date: November 20, 2003

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Application Number	09/997,696
Filing Date	November 30, 2001
First Named Inventor	Ronald M. McAlpine et al..
Group Art Unit	
Examiner Name	
Attorney Docket Number	18872.0113

Sheet

of

Attorney Docket Number

18872.0113

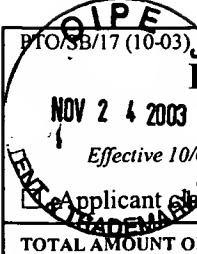
## U.S. PATENT DOCUMENTS

[illegible]


## FOREIGN PATENT DOCUMENTS

[illegible]

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

		PTO/SB/17 (10-03) Approved for use through 07/31/2006, OMB 0651-0032		Application Number 09/997,696	
<b>FEE TRANSMITTAL</b> <b>for FY 2004</b>				Filing Date November 30, 2001	
Effective 10/01/2003. Patent Fees are subject to annual revision.				First Named Inventor Ronald M. McAlpine et al.	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				Examiner Name	
TOTAL AMOUNT OF PAYMENT (\$180.0)				Group/Art Unit	
				Attorney Docket Number 18872.0113	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)						
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: 08-2442 Deposit Account Name: Hodgson Russ LLP The Director is hereby authorized to (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any fee deficiencies or credit any overpayments <input type="checkbox"/> Charge any additional fees during pendency of this application. <input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account		3. ADDITIONAL FEES						
		Large Entity		Small Entity				
		Fee Code	Fee (\$)	Fee Code	Fee (\$)			
		Fee Description						
		1051	130	2051	65	Surcharge - late filing fee or oath		\$
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		\$
		1053	130	1053	130	Non-English specification		\$
		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		\$
FEE CALCULATION		1804	920*	1804	920*	Requesting Publication of SIR prior to Examiner Action		\$
1. BASIC FILING FEE		1805	1,840*	1805	1,840*	Requesting Publication of SIR after Examiner Action		\$
Large Entity Small Entity								
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description				Fee Paid
1001	770	2001	385	Utility filing fee				\$
1002	340	2002	170	Design filing fee				\$
1003	530	2003	265	Plant filing fee				\$
1004	770	2004	385	Reissue filing fee				\$
1005	160	2005	80	Provisional filing fee				\$
SUBTOTAL (1)		1401	330	2401	165	Notice of Appeal		\$
2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE		1402	330	2402	165	Filing a brief in support of an appeal		\$
Extra Fee from		1403	290	2403	145	Request for oral hearing		\$
Total Claims / / -20** = / / x / / =		1451	1,510	1451	1,510	Petition to institute a public use proceeding		\$
Independent Claims / / - 3** = / / x / / =		1452	110	2452	55	Petition to revive - unavoidable		\$
Multiple dependent / / x / / =		1453	1,330	2453	665	Petition to revive - unintentional		\$
Large Entity Small Entity		1501	1,330	2501	665	10 advance copies		\$
Fee Code Fee (\$) Fee Code Fee (\$) Fee Description								
1202 18 2202 9 Claims in excess of 20		1502	480	2502	240	Utility issue fee (or reissue)		\$
1201 86 2201 43 Independent claims in excess of 3		1503	640	2503	320	Design issue fee		\$
1203 290 2203 145 Multiple dependent claim if not paid		1460	130	1460	130	Plant issue fee		\$
1204 86 2204 43 **Reissue independent claims over original patent		1807	50	1807	50	Petitions to the Commissioner		\$
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent		1806	180	1806	180	Processing fee under 37 CFR 1.17(q)		\$
SUBTOTAL (2)		8021	40	8021	40	Submission of Information Disclosure Statement		\$180
SUBMITTED BY: Martin G. Linihan (Reg. No. 24,929)		Recording each patent assignment per property (times number of properties)						
SIGNATURE 		1809	770	2809	385	Filing a submission after final rejection(37 CFR 1.129(a))		\$
DATE: November 20, 2003 Telephone: (716) 848-1367		1810	770	2810	385	For each add'l invention to be examined(37 CFR 1.129(b))		\$
		1801	770	2801	385	Request For Continued Examination (RCE)		\$
		1802	900	1802	900	Request for Expedited Examination of a design application		\$
		Other fee (specify) _____ \$						
		*Reduced by basic filing fee paid						
		SUBTOTAL (3) \$180						

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Martin G. Linihan  
Name

Signature

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